Actinide ions for testing the spatial α -variation hypothesis

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(Received 31 August 2015; published 10 December 2015)

Testing the spatial variation of the fine-structure constant α indicated by Webb *et al.* [J. K. Webb, J. A. King, M. T. Murphy, V. V. Flambaum, R. F. Carswell, and M. B. Bainbridge, Phys. Rev. Lett. **107**, 191101 (2011)] with terrestrial laboratory atomic measurements requires at least $\dot{\alpha}/\alpha \sim 10^{-19}$ yr⁻¹ sensitivity. We conduct a systematic search of atomic systems for such a test that have all features of the best optical clock transitions leading to the possibility of the frequency measurements with fractional accuracy on the level of 10^{-18} or better and have a factor of 100 extra enhancement of α variation in comparison to experimental frequency ratio measurement accuracy. We identify the pair of actinide Cf¹⁵⁺ and Es¹⁶⁺ ions as the best system for a test of spatial α -variation hypothesis as it satisfies both of these requirements and has sufficiently simple electronic structure to allow for high-precision predictions of all atomic properties required for rapid experimental progress.

DOI: 10.1103/PhysRevA.92.060502

Theories aimed at unifying gravity with other fundamental interactions [1,2] suggest variation of the fundamental constants such as the fine-structure constant $\alpha = e^2/\hbar c$ that characterizes the strength of the electromagnetic interaction. A very large analysis of the quasar absorption spectra that combined data taken by the Keck telescope in Hawaii and the Very Large Telescope in Chile indicated a 4σ spatial gradient in the value of α [3]. Such a result, implying that α was larger in the past in one direction but smaller in the opposite direction, would have a profound significance for the fine-tuning problem: The values of fundamental constants that can support life happen to fall within a narrow range [4,5]. A recent study of systematic distortions in the wavelengths scales of high-resolution spectrographs [6] showed that instrumental error may weaken the spatial variation result [3], but cannot explain all of the observed variations of α . Therefore, it became paramount to set up a laboratory experiment capable of potential observation of the spatial α variation at the level indicated by [3].

Measurement of an oscillating evolution of α may be used to search for a low-mass primordial dark matter field [7,8]; transient effects may indicate passing of the cosmic topological defects [9,10]. Frequencies of atomic transitions depend on α , therefore long-term monitoring of the frequency ratio with ultrahigh-precision atomic clocks enables tests of α variation at the present time. We also note a special case of a nonclock test of α variation in Dy [11], which uses the extremely high sensitivity of a specific transition between two almost degenerate excited states while the accuracy of the frequency measurements is significantly lower than in atomic clocks. The current laboratory limit for time variation of the fine-structure constant stands on the level of a 2×10^{-17} fractional change per year [11–14]. However, a test of the spatial α -dipole hypothesis presented in [3] requires at least a two order of magnitude improvement in accuracy of laboratory measurements. The rate at which α is changing in time due to the earth's movement in the framework of the α dipole is [5]

$$\frac{1}{\alpha}\frac{\partial\alpha}{\partial t} = (1.35\,\cos\psi + 0.014\,\cos\omega t) \times 10^{-18} \mathrm{yr}^{-1}, \quad (1)$$

PACS number(s): 31.15.A-, 06.20.Jr, 06.30.Ft, 32.30.Jc

where $\cos \psi \sim 0.07$ is related to the direction of the sun's movement relative to the α dipole and the second term is due to the earth's rotation around the sun.

The worlds best optical lattice atomic clocks approach a fractional accuracy of 10^{-18} [15–17], with the smallest uncertainty of 2×10^{-18} achieved for Sr [15]. However, this does not immediately translates into high sensitivity to α variation because Sr and Yb atomic clock transitions are not sufficiently sensitive to the variation of the fine-structure constant [18].

The dependence of atomic frequencies on α can be parametrized by the sensitivity coefficient *q* [19,20],

$$\omega(x) = \omega_0 + qx,\tag{2}$$

which can be rather accurately determined from theoretical computations. Here

$$x = \left[\left(\frac{\alpha}{\alpha_0} \right)^2 - 1 \right]$$

and α_0 is the current value of α . The parameter q links the variation of frequency ω to the variation of α ,

$$\frac{\delta\omega}{\omega_0} = \frac{2q}{\omega_0} \frac{\delta\alpha}{\alpha_0} \equiv K \frac{\delta\alpha}{\alpha_0},\tag{3}$$

where $K = 2q/\omega_0$ is an enhancement factor. In atomic clock laboratory α -variation tests, the ratio of two clock frequencies is monitored and the sensitivity of such a test is then described by the difference in their respective K values, i.e., $\Delta K = |K_2 - K_1|$,

$$\frac{\partial}{\partial t}\ln\frac{\omega_2}{\omega_1} = (K_2 - K_1)\frac{1}{\alpha}\frac{\partial\alpha}{\partial t}.$$
(4)

The *K* factor for Sr clock transitions is 0.06 [21]. In fact, the *K* factors for most of the clocks currently in development, for Mg, Al⁺, Ca⁺, Sr⁺, Sr, Yb, and Yb⁺ quadrupole transitions, and for Hg are very small, 0.008–1.0 [21]. The *K* factors for the Hg⁺ clock and Yb⁺ octupole clock transitions are -2.9 and -6, respectively, making them the best candidates for a comparison of one part of the clock pair against the

clock from the previous group that will essentially serve as a reference with little sensitivity to α variation. As a result, reaching $\dot{\alpha}/\alpha \sim 10^{-20}$ yr⁻¹ precision with any of these clock combinations would require better than 10^{-19} precision of the clock ratio, even with one of the clocks based on octupole Yb⁺ transitions. A comparison of different clocks will become even more challenging beyond 10^{-18} accuracy due to sensitivity to the environment, including temperature and gravitational potential [22]. For example, a clock on the surface of the earth that is higher by just 1 cm than another identical clock runs faster by $\delta\omega/\omega_0 \sim 10^{-18}$ [22].

Therefore, it is highly desirable to find a combination of two transitions that can be used to design of a high-precision clocks and has at least $\Delta K = 100$, which is the subject of this work. The transitions that combine high sensitivity to α variation with the potential to design a very accurate optical clock can be found in highly charged ions (HCIs) [23–26] or in the unique case of the ²²⁹Th nucleus [27]. The use of HCIs in the search for the time variation of the fine-structure constant α ($\alpha = e^2/\hbar c$) was considered in Refs. [23–25,28–32]. Sympathetic cooling of HCIs with Be⁺ was just demonstrated in [33], paving the way for future clock development.

We have studied a large variety of HCI systems to identify systems with $\Delta K > 100$ that approximately satisfy the following criteria for good clock transitions formulated in Ref. [26].

(i) The transition is in an optical region (230 nm < λ < 2000 nm or 5000 cm⁻¹ < $\hbar\omega$ < 43 000 cm⁻¹).

(ii) The lifetime of the clock state is between 100 and ${\sim}10^4~\text{s}.$

(iii) There are other relatively strong optical transitions (with an equivalent lifetime on the order of $\tau \leq 1$ ms).

(iv) The clock transition is not sensitive to perturbations caused by the blackbody radiation (BBR), gradients of external electric fields, etc.

The greatest sensitivity of atomic optical transitions to the variation of the fine-structure constant has so far been found in Cf^{16+} ions [24]. However, the states of Cf^{16+} sensitive to variation of α lack the important features of clock states listed above. The first four excited states of the Cf¹⁶⁺ ion are metastable, however, the lifetimes of all these clock states are outside the desirable value range, which will make accurate measurements problematic. In the present work, we find that the pair of Cf^{15+} and Es^{16+} ions satisfy the clock requirements with enhanced sensitivity to the α variation of $\Delta K = 110$. Both of these ions have a first excited metastable state that has all features of the upper clock state, such as convenient values of the frequencies and transition rates and low sensitivity to external perturbations. The transition between ground and these metastable states corresponds to the f - p single-electron transition. This makes the transitions sensitive to the variation of the fine-structure constant. An additional advantage comes from the fact that there is a 5f-6p level crossing between Cf^{15+} and Es^{16+} ions, i.e., the ground state of Cf^{15+} becomes an upper clock state in Es¹⁶⁺ and vice versa. This means that if α changes in time, the drifts of clock frequencies in Cf¹⁵⁺ and Es^{16+} have different signs, e.g., if α increases, the clock frequency of Cf¹⁵⁺ increases as well while the clock frequency of Es¹⁶⁺ decreases. This leads to extra enhancement of the sensitivity of the ratio of clock frequencies to the variation of the fine-structure constant.

Another advantage of using Cf^{15+} and Es^{16+} ions is due to the fact that they represent a good compromise between the simple electron structure and the abundance of relatively strong optical transitions that can be used for cooling, detection, etc. A simple electron structure allows for reliable theoretical predictions of the ion properties, which will tremendously simplify the identification of spectra and experimental search for the clock transitions. Most of the systems considered before have either a simple electron structure [23–25,29–31] but not many strong optical transitions or a complicated electron structure (many electrons in open shells) [26,28,32] that leads to experimental difficulties.

Neither californium nor einsteinium have stable isotopes. However, they have very long-lived isotopes, such as ²⁴⁹Cf with a half-life time of 351 yr and ²⁵²Es with half-life time of 1.3 yr. There are many facilities around the globe (Berkeley, Dubna, Darmstadt, RIKEN, etc.) that produce and study unstable isotopes (see, e.g., [34,35]).

Below we describe our predictions for the properties of these ions relevant to the search for α variation. We use the configuration-interaction (CI) plus all-order method [36,37], which combines the single-double linearized coupled-cluster [38] and the configuration-interaction approaches. The *B*-spline technique [39] is used to construct a set of single-electron basis states in the V^{N-3} approximation [40], which means that the initial self-consistent Hartree-Fock procedure is done for the Hg-like closed-shell core with three valence electrons removed. Core-valence and core-core correlations are included with the use of the single-double coupled-cluster method while valence-valence correlations between the three electrons are included with the use of the configuration-interaction method. Breit and quantum electrodynamic (QED) corrections are also included as described in Refs. [41,42].

Judging by previous experience with the CI plus all-order method [36,37,43,44], we expect the error in calculated energies to be within a few percent of the ionization potential value. The analysis of the accuracy of the present calculations is not simple due to the absence of experimental data. We have estimated the uncertainties by analyzing small contributions such as QED corrections, higher-order correlation corrections, and the effect of basis truncation. The analysis shows that the uncertainty for the energies of most states does not exceed 500 cm^{-1} . For some states of the 5 f^3 configurations it may go up to 900 cm⁻¹. The uncertainty for amplitudes of strong transitions is within 10% and can be significantly larger for weak transitions. The relative uncertainty for transition probabilities and lifetimes is significantly larger than for the energies due to their strong dependence on transition frequency. For example, the uncertainty for the M1 transition is at least three times larger than that for the energy [see Eq. (6)] and the uncertainty for the E2 transition is at least five times larger than that for the energy [see Eq. (5)]. The sensitivity coefficients q are more stable in the calculations than the small frequency ω . The corresponding uncertainty is within a few percent. The accuracy of the analysis can be further improved when the frequencies are measured.

The results for Cf^{15+} and Es^{16+} ions are presented in Table I. Energy-level diagrams for these ions are shown in

TABLE I. Excitation energies (in cm⁻¹), g factors, and lifetimes (in s) of the lowest states of the Cf¹⁵⁺ and Es¹⁶⁺ ions. The uncertainties for the energies do not exceed 500 cm⁻¹ for the states of the $5f6p^2$ configuration and can be almost two times larger for the states of the $5f^26p$ and $5f^3$ configurations. The uncertainty for lifetimes is between 10% and 50%. The numbers in square brackets represent multiplication by powers of 10.

Ion	Configuration	Term	Energy	g factors	Lifetime
$\overline{Cf^{15+}}$	$5f6p^2$	${}^{2}F_{5/2}^{o}$	0	0.843	
	$5f^26p$	${}^{4}I_{9/2}^{o}$	12314	0.813	6.9[3]
	$5f6p^{2}$	${}^{2}F_{7/2}^{o}$	21947	1.083	1.2[-2]
	$5f^{2}6p$	${}^{2}\mathrm{F}_{5/2}^{o}$	26665	0.715	0.26
	$5f^{2}6p$	${}^{2}\mathrm{D}_{3/2}^{o}$	27750	0.765	1.9
	$5f^{2}6p$	${}^{2}G_{7/2}^{o}$	28875	0.868	1.6[-2]
	$5f^{2}6p$	${}^{4}I_{11/2}^{o'}$	36564	0.996	3.1[-3]
	$5f^{2}6p$	${}^{4}\mathrm{H}_{9/2}^{o'}$	37392	1.029	1.5[-2]
Es^{16+}	$5f^{2}6p$	${}^{4}I_{9/2}^{o}$	0	0.808	
	$5f^{2}6p$	${}^{2}\mathrm{F}_{5/2}^{o}$	6994	0.788	1.6[4]
	$5f^{3}$	${}^{2}\mathrm{H}_{9/2}^{o}$	10591	0.804	3.4
	$5f^{2}6p$	${}^{2}D_{3/2}^{o'}$	11056	0.806	666
	$5f^{2}6p$	${}^{2}G_{7/2}^{o}$	15441	0.842	0.70
	$5f^{2}6p$	${}^{2}F_{5/2}^{o}$	22616	0.778	0.17
	$5f^{2}6p$	${}^{4}I_{11/2}^{o}$	24301	0.990	3.1[-3]
	$5f^{2}6p$	${}^{4}\mathrm{H}^{o}_{9/2}$	28351	1.026	1.2[-2]

Figs. 1 and 2, respectively. Atomic properties of the clock transitions in Cf¹⁵⁺, Es¹⁶⁺, and Es¹⁷⁺ ions are listed in Table II. We also included ion properties of Es¹⁷⁺ in Table II since it also satisfies the clock requirements but has lower sensitivity to α variation.

Clock transitions in Es^{17+} , Cf^{15+} , and Es^{16+} ions are electric quadrupole transitions *E*2 between the ground and first excited states (see Figs. 1 and 2). The probability of spontaneous emission from the clock to the ground state is given by (in



FIG. 1. (Color online) Low-lying energy levels of Cf^{15+} (roughly in scale). Leading configurations are shown on the top. The clock transition is the electric quadrupole *E*2 transition between the ground and first excited state. The strongest magnetic dipole *M*1 transitions are also shown.



FIG. 2. (Color online) Low-lying energy levels of Es^{16+} (see the caption of Fig. 1).

a.u.)

$$T_{c \to g}^{E2} = \frac{1}{15} (\alpha \omega)^5 \frac{\langle c || E2 || g \rangle^2}{2J_c + 1},$$
(5)

where α is the fine-structure constant, ω is the frequency of the transition, and J_c is the total angular momentum of the clock state. The corresponding lifetimes are presented in Table II. Note that they are either within or very close to the desirable range.

All actinide ions considered here have relatively strong magnetic dipole transitions to the ground and clock states. Note that since we are considering ions in the vicinity of the 6p-5f level crossing, only states of the same parity lie within optical range. Therefore, the strongest optical transitions are always M1 transitions. The rate of spontaneous emission from the upper state a to the lower state b is given by (in a.u.)

$$T_{a \to b}^{M1} = \frac{4}{3} (\alpha \omega)^3 \frac{\langle a || M1 || b \rangle^2}{2J_a + 1}.$$
 (6)

The strongest *M*1 amplitudes are presented in Table III.

Frequency shift due to blackbody radiation can be presented in the form

$$\delta\omega/\omega \approx \beta (T/T_0)^4,$$
 (7)

where T is temperature, T_0 is room temperature ($T_0 = 300$ K), and the parameter β is given by

$$\beta = -\frac{\Delta\alpha_s}{2\omega} (831.9 \text{ V/m})^2. \tag{8}$$

Here $\Delta \alpha_s$ is the difference in static scalar polarizabilities of clock and ground states and ω is the frequency of the clock transition.

Calculated polarizabilities and the BBR shift parameter β for the clock transitions considered here are presented in Table II. Note that the fractional BBR shift is of the order of 10^{-18} even at 300 K. It can be further reduced by lowing the temperature.

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TABLE II. Clock transitions in Cf¹⁵⁺, Es¹⁶⁺, and Es¹⁷⁺. Here α_s is the scalar static polarizability (a.u.), λ is the clock transition wavelength, β is the relative frequency shift due to BBR, τ is the lifetime of the clock state, ω is the frequency of the clock transition, q is the coefficient of sensitivity to α variation, and K is enhancement factor. The estimated uncertainty is smaller than 10% for the energies and enhancement factor K, a few percent for sensitivity coefficients q, 10%–20% for polarizabilities α_s and the BBR frequency shift parameter β , and up to 50% for lifetimes. The numbers in square brackets represent multiplication by powers of 10.

			Clock transition				τ	λ	ħω			
Ion	Ground	l state	α_s	Clock	state	α_s	(s)	(nm)	(cm^{-1})	β	q	K
²⁴⁹ Cf ¹⁵⁺	$5f6p^{2}$	${}^{2}\mathrm{F}_{5/2}^{o}$	0.317	$5f^{2}6p$	${}^{4}I_{9/2}^{o}$	0.183	6900	812	12314	-2.9[-18]	380000	57
253Es16+	$5f^{2}6p$	${}^{4}I_{9/2}^{o}$	0.161	$5f^{2}6p$	${}^{2}\mathrm{F}_{5/2}^{o}$	0.199	16000	1430	6994	1.6[-18]	-184000	-53
$^{252}\mathrm{Es}^{17+}$	$5f^2$	${}^{3}\text{H}_{4}$	0.032	5 <i>f</i> 6 <i>p</i>	${}^{3}F_{2}$	0.042	11000	1343	7445	4.0[-19]	-46600	-13

The clock states considered in this work have a large value of the total angular momentum J (see Table II). As a result, they have a nonzero quadrupole moment that couples to the gradient of external electric fields. However, the corresponding frequency shift can be avoided by choosing appropriate components of the hyperfine structure of the clock states. The quadrupole energy shift is proportional to $3M^2 - F(F+1)$, where F is the total atomic angular momentum including nuclear spin I ($\mathbf{F} = \mathbf{J} + \mathbf{I}$) and M is the projection of F on z axis. The shift is zero for states with F = 3, M = 2or F = 0, M = 0. It can also be numerically suppressed for appropriate hyperfine structure states [45]. Table IV lists isotopes of Cf and Es that have a long lifetime and suitable values of nuclear spin. Using the ²⁴⁹Cf isotope for the Cf¹⁵⁺ clock, the ²⁵²Es isotope for the Es¹⁷⁺ clock and ²⁵³Es or ²⁵⁵Es isotopes for the Es^{16+} clock makes it always possible to chose states with F = 3, M = 2 for both clock states. For the upper state of the ²⁴⁹Cf¹⁵⁺ clock the choice of F = 0, M = 0 is also possible.

The results for the *q* and *K* parameters of clock transitions are presented in Table II. Note that *q* values are very large, with a different sign of *q* for Cf and Es ions. The 6p - 5f transition in the Cf¹⁵⁺ ion becomes the 5f - 6p transition in the Es¹⁷⁺ and Es¹⁶⁺ ions, thus reversing the sign of the *K*. While the leading configurations for both clock states of the Es¹⁶⁺ ion are the same, the sensitivity coefficient *q* is still large. This results from the strong admixture of the $5f 6p^2$ configuration, which is different for the lower and upper states. If the ratio of the ²⁵³Es¹⁶⁺ clock frequency and the ²⁴⁹Cf¹⁵⁺ clock frequency

TABLE III. Strong magnetic dipole M1 transitions involving ground state (GS) and clock state (CS) of the Cf¹⁵⁺, Es¹⁶⁺, and Es¹⁷⁺ ions. Here A is the amplitude in Bohr magnetons μ ($\mu = e\hbar/2mc$) and τ is the equivalent lifetime, which is the inverse of the rate of spontaneous emission from the upper state to the clock or ground state. See Table II and the text for a discussion of uncertainties.

Ion	Lower state	Upper state	Wavelength (nm)	Frequency (cm ⁻¹)	$A (\mu)$	τ (ms)
Cf ¹⁵⁺	GS	${}^{2}F_{7/2}^{o}$	456	21947	1.55	11
	CS	${}^{4}I_{11/2}^{o}$	412	24250	3.17	3
Es ¹⁶⁺	GS	${}^{4}I_{11/2}^{o}$	412	24301	3.03	3
	CS	${}^{2}F_{7/2}^{o}$	359	27837	1.82	4
Es ¹⁷⁺	GS	${}^{3}H_{5}$	476	21014	2.98	5

is measured, the sensitivity is given by

$$\frac{\partial}{\partial t}\ln\frac{\omega_2}{\omega_1} = 110\frac{1}{\alpha}\frac{\partial\alpha}{\partial t}$$
(9)

according to Eq. (4). Comparing this with (1) shows that sensitivity to detect the α dipole can be achieved if frequencies are measured with a relative accuracy of $\sim 10^{-18}$.

In summary, we find that the pair of Cf^{15+} and Es^{16+} ions satisfies the requirements for the design of a high-precision clock with an enhanced sensitivity to the α variation of $\Delta K = 110$. Therefore, if α changes in time or with spatial position, the ratio of the Cf^{15+} and Es^{16+} clock frequencies changes more than 100 times faster. This drastically reduces the stringent requirement for the accuracy of the frequency measurement needed to achieve sensitivity for the test of the spatial α variation indicated by the astrophysical observations [3]. We have surveyed a large number of potential systems and large K was either due to a small transition frequency ω or occurred in systems that make the design of an accurate clock difficult for other reasons, such as too short or too long clock state lifetimes. This pair of ions has a large δK and also satisfies the clock requirements introduced in [26].

We note that Cf^{15+} is a particular good clock candidate with an optical (812-nm) clock transition, a very long-lived isotope that conveniently allows for M = 0, F = 0 selection of the clock state, and a very large K = 57. Therefore, an experiment measuring the ratio of the Cf^{15+} clock frequency with any other current clock where 10^{-18} accuracy can be achieved will also provide an excellent test of α variation, which will lose only a factor of 2 in sensitivity to the Cf-Es pair but would be easier to implement.

We thank Michiharu Wada and Hidetoshi Katori for stimulating discussions. M.S.S. thanks the School of Physics

TABLE IV. Long-lived isotopes of Cf and Es with convenient values of nuclear spin I.

Isotope	Lifetime	Ι
²⁴⁹ Cf	351 y	9/2
²⁵² Es	1.29 y	5
²⁵³ Es	20 d	7/2
²⁵⁵ Es	40 d	7/2

at UNSW, Sydney, Australia for hospitality and acknowledges support from the Gordon Godfrey Fellowship program UNSW.

This work was supported by U.S. NSF Grant No. PHY-1404156 and the Australian Research Council.

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